

1FW Gp 2623

TRANSMITTAL FORM			Application No.	09/8/6,319
IKAN	DIVILLIAL	ORIVI	Filing Date	June 6, 2001
(to be used for all	correspondence af	ter initial filing)	First Named Inventor	Takuya Adachi
			Art Unit	2623
			Examiner Name	Tucker, Wesley J.
Total Number of I	Pages in This Submission	on 7	Attorney Docket Number	96790P363
	ENCLO	SURES (chec	ck all that apply)	
Fee Transmittal	Form	Drawing(s))	After Allowance Communication to Group
Fee Attack	hed	Licensing-r	elated Papers	Appeal Communication to Board of Appeals and Interferences
Amendment / Re	esponse	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Fina Affidavits/	ıl ⁄declaration(s)	Petition to C Provisional	Convert a Application	Proprietary Information
Extension of Tim	e Request	Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter
Express Abando	nment Request	Terminal D	Disclaimer	Other Enclosure(s) (please identify below):
Information Disc	losure Statement	Request for Refund		Seven (7) cited references; Return postcard
PTO/SB/0		CD, Numbe	er of CD(s)	
Certified Copy o Document(s)				
Response to Mis Incomplete Appli	ssing Parts/ ication Filing Fee	Remarks	·	
	aration/POA	*	_	
Response Parts und 1.52 or 1.5	e to Missing er 37 CFR 53			
	SIGNATUR	E OF APPLICAI	NT, ATTORNEY, OR A	GENT
Firm	Eric S. Hyman	, Reg. No. 30	,139	
or Individual name BLAKELY,		SOKOLØFF,	TAYLOR & ZAF	MAN LLP
Signature		SH		
Date ///1/09				
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Signature	Y 0/0 4	I Dans		Date 12 -27-/24

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FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

(\$)

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

 Complete if Known

 Application Number
 09/876,319

 Filing Date
 June 6, 2001

 First Named Inventor
 Takuya Adachi

 Examiner Name
 Tucker, Wesley J.

 Art Unit
 2623

 Attorney Docket No.
 96790p363

METHO	OF PAY	MENT (c	heck all	that apply)				
Check [Credit ca	ard 🔲 M	Ioney Or	rder None Other (please identify):				
Deposit A	Account D	Deposit A	count N	lumber: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor &	Zafman LLP			
☐ Cha 【】 Cha	arge fee(s) arge any a	indicated dditional f	below fee(s) or	ount, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the underpayment of fee(s) Credit any overpayments 1.18 and 1.20.	filing fee			
FEE CALCU	LATION							
Large Er	ntity	Small	Entity					
FeeFe		Fee	Fee	Fee Description	Fee Paid			
Code(\$)	Code	(\$)	ree Description	ree raiu			
1051	130	2051	65	Surcharge - late filing fee or oath				
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.				
2053	130	2053	130	Non-English specification				
1251	120	2251	60	Extension for reply within first month	tension for reply within first month			
1252	450	2252	225	tension for reply within second month				
1253	1,020	2253	510	Extension for reply within third month				
1254	1,590	2254	795	Extension for reply within fourth month				
1255	2,160	2255	1,080	Extension for reply within fifth month				
1401	500	2401	250	Notice of Appeal				
1402	500	2402	250	Filing a brief in support of an appeal				
1403	1,000	2403	500	Request for oral hearing				
1451	1,510	2451	1,510	Petition to institute a public use proceeding				
1460	130	2460	130	Petitions to the Commissioner				
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)				
1806	180	1806	180	Submission of Information Disclosure Stmt				
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))				
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))				
Other f	ee (spe	cify)						
		-		SUBTOTAL (2)	7			

SUBMITTED B	Υ				Com	olete (if applicable)
Name (Print/Type)	Eric S. Hyman	C. A	Registration No. (Attorney/Agent)	30,139	Telephone	(310) 207-3800
Signature		Colf of			Date	12/2/69

Based on PTO/SB/17 (12-04) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 12/26/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Docket No.: 96790P363

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

TAKUYA ADACHI, ET AL.

Application No.: 09/876,319

Filed: June 6, 2001

IMAGE COLLATION METHOD AND For:

APPARATUS AND RECORDING MEDIUM

STORING IMAGE COLLATION PROGRAM

Art Group: 2623

Tucker, Wesley J. Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated October 5, 2004 (copy enclosed herewith) in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated October 29, 2004.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Date: $\sqrt{\lambda}/04$

Respectfully submitted,

Blakely, Søkoloff, Taylor & Zafman LLI

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kelly Walsh

-2-

Date

96790P363

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Substitute for form 1449A/PTO

Substitute for form 1449A/PTO INFORMATION DISCLOSS STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 2 1 Sheet

	Complete if Known
Application Number	09/876,319
Filing Date	June 6, 2001
First Named Inventor	Takuya Adachi
Art Unit	2623
Examiner Name	Tucker, Wesley J.
Attorney Docket Number	96790P363

			U.S. PATI	ENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,690,554	09-01-1987	Froelich	
		US-4,983,036	01-08-1991	Froelich	
		US-5,633,947	05-27-1997	Sibbald	
		US-4,581,760	04-08-1986	Schiller et al.	
		US-			

-	FOREIGN PATENT DOCUMENTS						
Examiner Cite		Foreign Patent Document			Pages, Columns, Lines,	т6	
Initials*			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		EP 0 251 504 A	01-07-1988	Identix Inc.		<u> </u>	
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Examiner		Date
Signature	\C	Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

 Sheet
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 of
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Complete if Known			
Application Number 09/876,319			
Filing Date	June 6, 2001		
First Named Inventor	Takuya Adachi		
Art Unit	2623		
Examiner Name	Tucker, Wesley J.		
Attorney Docket Number	96790P363		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Ratkovic J.A., et al, "Concepts for a Next Generation Automated Fingerprint System", Proceedings of the Carnahan Conference on Crime Countermeasures, pages 157-161	
		Kobayashi, T. "A Fingerprint Image Recognition Method for Network User Identification", Proceedings ICCI 4th International Conference on Computing & Information, pages 369-372	
			<u> </u>
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Examiner	Date
Signature	Considered

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.